IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney's Docket: Crom M 1

Applicant: Michael Crom, et al

Entitled: HYBRID WIRE WINDER AND SEISMIC CABLES

Application No. 09/965,646

Filed: Sept. 9, 2001 Confirmation No. 3056

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this paper and its enclosures are being deposited with the United States Postal Service 'First Class Mail under 37 CFR 1.8 on Jan. 7, 2002 and is addressed to:

The Assistant Commissioner of Patents,
Office of Initial Patent Examination,
Customer Service Center

COPY OF PAPERS ORIGINALLY FILED

Washington, DC 20231

Signed: Christopher B. Garvey

Preliminary Amendment

This responds to a Formalities Letter of 11/5/01. A response is due 1/7/01. In response to the Notice to File Missing Parts of the Non-provisional Application, Filed under 37 CFR 1.53(b), in which the filing date was granted, we hereby submit the following Preliminary Amendment.

Enclosures:

The COMBINED DECLARATION & POWER OF ATTORNEY

4 sheets of Formal Drawings, Figs. 1-6;

1 copy of the Notice to File Missing Parts

Application pages 11-18

A Check for \$486;.and

A self-addressed POSTCARD receipt;

The filing fee is calculated as follows:

basic fee = \$ 370

1 extra claim over 20 fee @\$9 = \$91 extra independent claim fee @\$42 = \$42

37 CFR 1.16(e) fee. late fee: \$65

total filing fee = \$486

total fining fee –

based on fees effective Oct. 1, 2001

Please amend the application as follows:

In the Specification:

Please amend the above-identified patent application by removing pages 11 through 18 and replacing them with enclosed pages 11 through 19, in which there is a page break immediately before the claims, so that the claims commence on a separate sheet.

In the Drawings:

Substitute the enclosed formal figures 1,2,3,4,5, & 6 for figures 1,2,3,4,5, & 6, now on file.

Remarks

Substitute pages are enclosed to start the claims on a separate sheet as required. The new page break is the only change.

The new formal drawings are numbered more neatly than those they replace, and have no fax markings. Otherwise they should contain no new matter.

The Commissioner is authorized to credit any overpayment or to charge any deficiency during the ENTIRE PENDENCY of this application to deposit account No. 03-2468

Address all future communications to:

Customer Number 23974

Christopher B. Garvey

Nolte, Nolte & Hunter 1077 Northern Blvd., Roslyn, NY 11576 phone: 516 365 9802 FAX: 516 365 9805

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

09-26-2001

Christopher B. Garvey

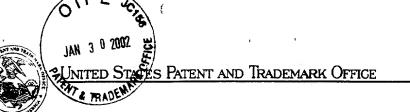
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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

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ATTORNEY DOCKET NUMBER

09/965,646

09/26/2001

Michael Crom

Crom M 1

CONFIRMATION NO. 3056

23974 NOLTE NOLTE & HUNTER CHRISTOPHER B GARVEY 1077 NORTHERN BLVD ROSLYN, NY 11701



FORMALITIES LETTER

Date Mailed: 11/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

\$740. - Small: \$370.

- The statutory basic filing fee is missing.

 Applicant must submit \$ 776 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$98
 - \$18 for 1 total claims over 20.
 - \$20 for 1 independent claims over 3.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 938.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).